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J1044 U.S. PTO

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PTO/SB/05

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	<i>Attorney Docket No.</i>	065701.0008
	<i>First Named Inventor or Application identifier</i>	David L. Morris
ADDRESS TO: Assistant Commissioner of Patents Box Patent Application Washington, DC 20231	<i>Title</i>	HEATER TUBE
	<i>Express Mail No.</i>	EL852272023

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09/943189
08/30/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (*submit in duplicate*)
2. ☒ Specification [Total Pages: 34]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets: 5]
4. ☒ Oath or Declaration [Total Pages: 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(*for continuation/divisional with No. 17 completed*)
[Note No. 5 below]
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (*useable if No. 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☒ Power of Attorney
10. ☐ English Translation Document (*if applicable*)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (*Itemized*)
14. ☐ Small Entity Statement(s)
☒ Statement filed in prior application. Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

<input type="checkbox"/> Continuation		
<input type="checkbox"/> Divisional		of prior application No.: ____/____
<input type="checkbox"/> Continuation-in-part (CIP)		

18. Correspondence Address

☐ Customer Number or Bar Code Label _____ or ☒ Correspondence address below
_____ 26262
(Insert Customer No. or Attach bar code label here)

Name	Attn: Charles W. Hanor AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.				
Address	P.O. Box 12870				
City	San Antonio	State	Texas	Zip Code	78212
Country	U.S.A.	Telephone	(210) 281-7000	Fax	(210) 224-2035

Date:

8/30/01

Charles W. Hanor, Reg. No. 27,132

FEE TRANSMITTAL		Complete if Known	
		Application Number	Not Assigned Yet
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. 37 CFR §§ 1.27 & 1.28		Filing Date	
		First Named Inventor	David L. Morris
		Examiner Name	Not Assigned Yet
		Group / Art Unit	Not Assigned Yet
Total Amount of Payment	\$ 1,056.00	Attorney Docket No.	065701.0008

METHOD OF PAYMENT (check one)			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>01-0477</u> Deposit Account Name: <u>Akin, Gump, Strauss, Hauer & Feld, L.L.P.</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.18 at the Mailing of the Notice of Allowance			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
FEE CALCULATION			
1. Basic Filing Fee			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$690	\$355	Utility Filing Fee	\$ 355.00
\$310	\$155	Design Filing Fee	\$
\$480	\$240	Plant Filing Fee	\$
\$760	\$380	Reissue Filing Fee	\$
\$150	\$ 75	Provisional Filing Fee	\$
Subtotal (1)			\$ 355.00
2. Extra Claim Fees			
Claims	Extra	Fee (below)	Fee Paid
Total <u>49 - 20** =</u>	29	x \$ 9	\$ 261.00
Indep. <u>14 - 3** =</u>	11	x \$ 40	\$ 440.00
Multiple Dependent			\$
**or number previously paid, if greater.			
For Reissues, see below			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	
\$ 18	\$ 9	Claims in excess of 20	
\$ 78	\$ 39	Independent claims in excess of 3	
\$ 260	\$ 130	Multiple dependent claim, if not paid	
\$ 78	\$ 39	**Reissue independent claims over original patent	
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent	
Subtotal (2)			\$ 701.00

FEE CALCULATION (continued)			
3. Additional Fees			
Large Entity	Small Entity	Fee Description	Fee Paid
\$ 130	\$ 65	Surcharge - late fee or oath	\$
\$ 50	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
\$2,520	\$2,520	Request for Reexamination	\$
\$ 110	\$ 55	Extension for reply within first month	\$
\$ 380	\$ 190	Extension for reply within second month	\$
\$ 870	\$ 435	Extension for reply within third month	\$
\$1,360	\$ 680	Extension for reply within fourth month	\$
\$1,850	\$ 925	Extension for reply within fifth month	\$
\$ 300	\$ 150	Notice of Appeal	\$
\$ 300	\$ 150	Filing a brief in support of an appeal	\$
\$ 260	\$ 130	Request for oral hearing	\$
\$ 110	\$ 55	Petition to revive - unavoidable	\$
\$1,210	\$ 605	Petition to revive - unintentional	\$
\$1,210	\$ 605	Utility issue fee (or reissue)	\$
\$ 430	\$ 215	Design issue fee	\$
\$ 580	\$ 290	Plant issue fee	\$
\$ 130	\$ 130	Petitions to the Commissioner	\$
\$ 50	\$ 50	Petitions related to provisional applications	\$
\$ 240	\$ 240	Submission of Information Disclosure Statement	\$
\$ 760	\$ 380	Filing a submission after final rejection (37 CFR 1.129(a))	\$
Other fee (specify):			\$
Other fee (specify):			\$
Subtotal (3)			\$

Submitted by

Date:

8/30/01

Charles W. Hanor, Reg. No. 27,132

Express Mail No. EL852272023